

As a below named inventor WE hereby declare that: our residence, post office address and citizenship are as stated below next to our names; that

COMBINED DECLARATION AND POWER OF ATTORNEY

We verily believe We are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS**. The specification of which was filed on <u>October 16, 2000</u> and assigned <u>Serial No. 09/690,051</u>.

We hereby state that We have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (see page 3 attached hereto).

We hereby claim foreign priority benefits under Title 35, United States Code, §119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

#### No such applications have been filed.

PA0476.ap.ÚS

We hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

#### No such applications have been filed

We hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

#### No such applications have been filed.

We hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Litman, Mark A.

Reg. No. 26,390

Farrar, Jennifer K.\*

Reg. No. 34,775

Jennifer K. Farrar is not a member of Mark A. Litman & Associates, P.A.

Our Ref: <u>PA0476.ap.US</u> Serial No.: 09/690,051 Filing Date: October 16, 2000

Filing Date: October 16, 2000

Title: DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS

We hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which We hereby declare that We have consented after full disclosure to be represented unless/until We instruct Mark A. Litman or Jennifer K. Farrar to the contrary.

Please direct all correspondence in this case to Mark A. Litman & Associates, P.A. at the address indicated below:

3209 West 76th St.
York Business Center, Suite 205
Edina, MN 55435
Telephone No. (952)832.9090

- § 1.56 Duty to disclose information material to patentability.
- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

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- (2) It refutes, or is inconsistent with, a position the applicant takes in:
- Opposing an argument of unpatentability relied on by the Office, or
- (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application:
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number  $\underline{\mathbf{1}}$ :

Attila Grauzer

Citizenship: U.S.A.

Residence: 333 East Eldorado Lane, Las Vegas, Nevada 89123

Post Office Address: 333 East Eldorado Lane, Las Vegas, Nevada 89123

Full Name of joint inventor number 2:

Feraidoon Bourbour

Citizenship: U.S.A. Residence: 2885 Knox Avenue S. #808, Minneapolis, Minnesota 55346

Post Office Address: 2885 Knox Avenue S. #808, Minneapolis, Minnesota 55346

Signature Service Bomba Date:

Our Ref: <u>PA0476.ap.US</u> Serial No.: 09/690,051 Filing Date: October 16, 2000

Title: DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS

Full Name of joint inventor number 3: James Phillip Helgesen Citizenship: U.S.A. Residence: 7184 Sunshine Drive, Eden Prairie, Minnesota 55346 Post Office Address: 7184 Sunshine Drive, Eden Prairie, Minnesota 55346 Signature: James Philip Hyen Date: 11/28/2000 Full Name of joint inventor number 4: Troy D. Nelson Citizenship: U.S.A. Residence: 21898 181st Street, Big Lake, Minnesota 55309 Post Office Address: 21898 181st Street, Big Lake, Minnesota 55309 Day O. Mh Date: 11/22/2000 Full Name of joint inventor number 5: Robert J. Rynda Citizenship: U.S.A. Residence: 555 East Silverado Ranch Boulevard, Las Vegas, Nevada 89123 Post Office Address: 555 East Silverado Ranch Boulevard, Las Vegas, Nevada 89123 Full Name of joint inventor number **6**: Paul K. Scheper Citizenship: U.S.A. Residence: 8246 Drexel Court, Eden Prairie, Minnesota 55347 Post Office Address: 8246 Drexel Court, Eden Prairie, Minnesota 55347

3 K Shiper Date: 11/22/00

Our Ref: PA0476.ap.US Serial No.: 09/690,051

Filing Date: October 16, 2000

Title: DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS

Full Name of joint inventor number 7:

**James Bernard Stasson** 

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Post Office Address: 2461 Bridle Creek Trail, Chanhassen, MN 55317

Signature: James Bernard & ASC Date: 11/22/00

Full Name of joint inventor number 8:

Ronald R. Swanson

Citizenship: U.S.A. Residence: 216 Ortloff Trail, Watertown, Minnesota 55388

Post Office Address: 216 Ortloff Trail, Watertown, Minnesota 55388

Date: 11/22/00

Our Ref: PA0476.ap.US Serial No.: 09/690,051 Filing Date: October 16, 2000 Title: DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS Full Name of joint inventor number 3: James Phillip Helgesen Citizenship: U.S.A. Residence: 7184 Sunshine Drive, Eden Prairie, Minnesota 55346 Post Office Address: 7184 Sunshine Drive, Eden Prairie, Minnesota 55346 Troy D. Nelson Full Name of joint inventor number  $\underline{4}$ : Citizenship: U.S.A. Residence: 21898 181st Street, Big Lake, Minnesota 55309 Post Office Address: 21898 181st Street, Big Lake, Minnesota 55309

Full Name of joint inventor number 5: Robert J. Rynda Citizenship: U.S.A. Residence: 555 East Silverado Ranch Boulevard, Las Vegas, Nevada 89123

Post Office Address: 555 East Silverado Ranch Boulevard, Las Vegas, Nevada 89123

Paul K. Scheper Full Name of joint inventor number  $\underline{\mathbf{6}}$ :

Citizenship: U.S.A. Residence: 8246 Drexel Court, Eden Prairie, Minnesota 55347

Post Office Address: 8246 Drexel Court, Eden Prairie, Minnesota 55347

mos Date: 11/22/00

Our Ref: PA0476.ap.US Serial No.: 09/690,051

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Title: DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS

Full Name of joint inventor number 7:

James Bernard Stasson

Residence: 2461 Bridle Creek Trail, Chanhassen, Minnesota 55317 Citizenship: U.S.A.

Post Office Address: 2461 Bridle Creek Trail, Chanhassen, MN 55317

Signature: James Bernard State: 11/22/00

Full Name of joint inventor number 8:

Ronald R. Swanson

Citizenship: U.S.A. Residence: 216 Ortloff Trail, Watertown, Minnesota 55388

Post Office Address: 216 Ortloff Trail, Watertown, Minnesota 55388

Date: 1/22/00

### **ASSIGNMENT**

WHEREAS, WE, Attila Grauzer, residing at 333 East Eldorado Lane, Las Vegas, Nevada 89123; Feraidoon Bourbour, residing at 2885 Knox Avenue S. #808, Minneapolis, Minnesota 55408; James Phillip Helgesen, residing at 7184 Sunshine Drive, Eden Prairie, Minnesota 55346; Troy D. Nelson, residing at 21898 181st Street, Big Lake, Minnesota 55309; Robert J. Rynda, residing at 555 East Silverado Ranch Boulevard, Las Vegas, Nevada 89123; Paul K. Scheper, residing at 8246 Drexel Court, Eden Prairie, Minnesota 55347; James Bernard Stasson, residing at 2461 Bridle Creek Trail, Chanhassen, Minnesota 55317; and Ronald R. Swanson, residing at 216 Ortloff Trail, Watertown, Minnesota 55388, made certain new and useful inventions and improvements for which I executed an application for Letters Patent of the United States, which is entitled DEVICE AND METHOD FOR CONTINUOUSLY SHUFFLING AND MONITORING CARDS and assigned Serial No. 09/690,051 filed on October 16, 2000.

AND WHEREAS, Shuffle Master, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 10901 Valley View Road, Eden Prairie, Minnesota 55344 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW. THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters



# **SMALL BUSINESS**



## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

a) ( ) the owner of the small business concern identified below:

b) (20) an efficial of the small business concern empowered to act on behalf of the concern identified below:

NEW PRESS OF CONCERN:

Shuffle Master, Inc.

10901 Valley View Road

Eden Prairie, Minnesota 55344

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled <u>DEVICE AND METHOD FOR CONTINUOUSLY</u> <u>SHUFFLING AND MONITORING CARDS</u>, by inventors <u>Attila Grauzer</u>, <u>Feraidoon Bourbour</u>, <u>James Phillip Helgesen</u>, <u>Troy D. Nelson</u>, <u>Robert J. Rynda</u>, <u>Paul K. Scheper</u>, <u>James Bernard Stasson</u> and <u>Ronald R. Swanson</u> described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).
\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

NAME Shuffle Master, Inc.

ADDRESS 10901 Valley View Road, Eden Prairie, Minnesota 55344

a) () INDIVIDUAL b) (X) SMALL BUSINESS CONCERN c) () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME	Mark L. Yoseloff		 	
TITLE	President	 	 	
ADDRESS	1106 Palms Airport Drive, Las Vegas, Nevada 89119			

SIGNATURE-

DATE 11-29-5000